

98-31-01  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Transmittal

IBM DOCKET NO. FIS920010082US1

Assistant Commissioner for Patents  
Washington, D.C. 20231

IBM Corporation  
Intellectual Property Law  
Zip 482  
2070 Route 52  
Hopewell Junction, New York 12533

Sir:

Transmitted herewith for filing is the patent application of

Inventors: James M. Leas

For: **SiGe OR GERMANIUM FLIP CHIP OPTICAL RECEIVER**

Enclosed are:

- ☒ 4 sheets of informal drawings consisting of 4 figures.
- ☒ An assignment of the invention to: International Business Machines Corporation, Armonk, New York 10504
- ☐ A certified copy of application Serial No.:
- ☒ An Information Disclosure Statement.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27.
- ☒ A combined declaration and power of attorney
- ☐ A preliminary amendment.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
Fee	No. Filed	No. Extra
Basic Fee		
Total Claims	42 - 20 =	22
Indep. Claims	3 - 3 =	0
Multiple Dependent Claim Presented		

Other Than Small Entity	
Rate	Fee
	\$ 710.00
22 x \$18.00 =	\$ 396.00
0 x \$80.00 =	\$ 0.00
\$270.00	\$ 0.00
TOTAL	\$ 1,106.00

- ☒ Please charge Deposit Account 09-0458 in the amount of \$1,106.00. A duplicate copy of this sheet is enclosed. FI-502
- ☒ Commissioner is authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0458. A duplicate copy of this sheet is enclosed.
  - ☒ Any additional filing fees required under 37 C.F.R. §1.16.
  - ☐ Any patent application processing fees under 37 C.F.R. §1.17.

CERTIFICATE OF MAILING UNDER 37 CFR 1.10	
I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231 as "Express Mail Post Office to Addressee"	
Mailing Label No. <u>ET134022643US</u>	on <u>8/30/01</u>
<u>Missy Shelly</u>	
Name of person mailing paper	Signature
<u>8/30/01</u>	<u>Missy Shelly</u>
Date	

Respectfully submitted,

FOR: James M. Leas

BY: [Signature]  
Attorney: Joseph P. Abate  
Registration No.: 30,238  
Telephone No.: (845) 894-4633

11040 U.S. PRO  
09/942823  
08/30/01

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **James M. Leas**

Docket No.

FIS920010082US1

Serial No.

Filing Date

8/30/01

Examiner

Group Art Unit

Invention: **SiGe Or Germanium Flip Chip Optical Receiver**I hereby certify that this **Information Disclosure Statement***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on **8/30/01***(Date)***Missy Shelly***(Typed or Printed Name of Person Mailing Correspondence)* **8/30/01**  
*(Signature of Person Mailing Correspondence)***ET134022643US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**